



PTO/SB/08a/b (08-03)

Approved for use through 07/31/2008. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete If Known</b>	
				Application Number	10/781,505
				Filing Date	February 18, 2004
				First Named Inventor	Rafail Zubok
				Art Unit	3738
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	SPINE 3.0-455 CIP CONT IV

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
AK	AA	US-2002/0143399-A1	10-03-2002	Sutcliffe	
	AB	US-2003/0028249-A1	02-06-2003	Baccelli et al.	
	AC	US-2003/0078668-A1	04-24-2003	Michelson	
	AD	US-2003/0109928-A1	06-12-2003	Pasquet et al.	
	AE	US-2003/0125748-A1	07-03-2003	Li et al.	
	AF	US-2003/0149482-A1	08-07-2003	Michelson	
	AG	US-2003/0199981-A1	10-23-2003	Ferree	
	AH	US-2003/0199983-A1	10-23-2003	Michelson	
	AI	US-2003/0204260-A1	10-30-2003	Ferree	
	AJ	US-2003/0216744-A1	11-20-2003	Longhini et al.	
	AK	US-2003/0233097-A1	12-18-2003	Ferree	
	AL	US-2003/0233148-A1	12-18-2003	Ferree	
	AM	US-2004/0002759-A1	01-01-2004	Ferree	
	AN	US-2004/0002761-A1	01-01-2004	Rogers et al.	
	AO	US-2004/0002762-A1	01-01-2004	Hawkins	
	AP	US-2004/0006343-A1	01-08-2004	Sevrain	
	AQ	US-2004/0010254-A1	01-15-2004	Cook et al.	
	AR	US-2004/0024459-A1	02-05-2004	Ferree	
	AS	US-2004/0024461-A1	02-05-2004	Ferree	
	AT	US-2004/0030389-A1	02-12-2004	Ferree	
	AU	US-2004/0030390-A1	02-12-2004	Ferree	
	AV	US-2004/0030391-A1	02-12-2004	Ferree	
	AW	US-3,486,505	12-30-1969	G. M. Morrison	
	AX	US-4,759,766	07/1988	Buettner-Janz et al.	
	AY	US-4,997,432	03-05-1991	Keller	
	AZ	US-5,122,130	06-16-1992	Keller	
	AA1	US-5,258,031	11-02-1993	Salib et al.	
	AB1	US-5,314,477	05-24-1994	Mamay	
	AC1	US-5,401,269	03-28-1995	Buttner-Janz et al.	
	AD1	US-5,425,773	06/1995	Boyd et al.	
	AE1	US-5,458,641	10-17-1995	Ramirez Jimenez	
	AF1	US-5,507,816	04-16-1996	Bullivant	
	AG1	US-5,556,431	09/1996	Buttner-Janz, Karin	
	AH1	US-5,562,738	10/1996	Boyd et al.	
	AI1	US-5,676,701	10/1997	Yuan et al.	
	AJ1	US-5,720,751	02-24-1998	Jackson	
	AK1	US-5,755,796	05/1998	Ibo et al.	
	AL1	US-5,899,941	05/1999	Nishijima.	
	AM1	US-6,019,792	02/2000	Cauthen.	
	AN1	US-6,039,763	03/2000	Shelokov, Alexis P.	
	AO1	US-6,063,121	05/2000	Xavier et al.	
	AP1	US-6,093,205	07-25-2000	Mcleod et al.	
	AQ1	US-6,093,207	07-25-2000	Pisharodi	
	AR1	US-6,113,637	09-05-2000	Gill et al.	
	AS1	US-6,146,421	11/2000	Gordon et al.	
	AT1	US-6,156,067	12/2000	Bryan et al.	

Examiner  
Signature

534212 LIXX

Date  
Considered

03/02/07



PTO/SB/08a/b (08-03)

Approved for use through 07/31/2008. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/781,505
				Filing Date	February 18, 2004
				First Named Inventor	Rafail Zubok
				Art Unit	3738
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	SPINE 3.0-455 CIP CONT IV

<input checked="" type="checkbox"/>	AU1	US-6,179,874	01/2001	Cauthen.		
<input checked="" type="checkbox"/>	AV1	US-6,190,413	02-20-2001	Sutcliffe		
<input type="checkbox"/>	AW1	US-6,228,118	05/2001	Gordon, Dennis P.		
<input type="checkbox"/>	AX1	US-6,368,350	04/2002	Erickson et al.		
<input type="checkbox"/>	AY1	US-6,416,551-B1	07-09-2002	Keller		
<input type="checkbox"/>	AZ1	US-6,432,106-B1	08-13-2002	Fraser		
<input type="checkbox"/>	AA2	US-6,461,359-B1	10-08-2002	Tribus et al.		
<input type="checkbox"/>	AB2	US-6,517,580-B1	02-11-2003	Ramadan et al.		
<input type="checkbox"/>	AC2	US-6,524,312-B2	02-25-2003	Landry et al.		
<input type="checkbox"/>	AD2	US-6,540,785-B1	04-01-2003	Gill et al.		
<input type="checkbox"/>	AE2	US-6,582,468-B1	06-24-2003	Gauchet et al.		
<input type="checkbox"/>	AF2	US-6,610,093-B1	08-26-2003	Pisharodi		
<input type="checkbox"/>	AG2	US-6,652,533-B2	11-25-2003	O'neil		
<input type="checkbox"/>	AH2	US-6,679,915-B1	01-20-2004	Cauthen		
<input checked="" type="checkbox"/>	AI2	US-6,706,068	03/2004	Ferree, Bret A.		
<input checked="" type="checkbox"/>	AJ2	US-6,716,245	04-06-2004	Pasquet et al.		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
		Country Code <sup>2</sup> -Number <sup>3</sup> -Kind Code <sup>4</sup> (if known)				

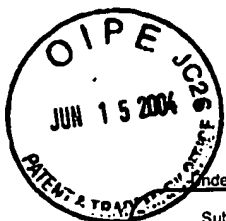
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	03/02/07
-----------------------	--	--------------------	----------



PTO/SB/08A (08-03)  
Approved for use through 07/31/2008. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

Application Number	10/781,505
Filing Date	February 18, 2004
First Named Inventor	Rafail Zubok
Art Unit	3738
Examiner Name	Not Assigned
Attorney Docket Number	532/3X6

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
/K	1	US- 5,405,400	04/11/1995	Linscheid et al.	1
		US- 5,645,605	07/08/1997	Klawitter	
		US- 6,001,130	12/14/1999	Bryan et al.	
		US- 6,113,637	09/05/2000	Gill et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				

Examiner  
Signature

*[Signature]*

Date  
Considered

03/02/07

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.